

REVIEW TOPIC OF THE WEEK

Heart Failure With Preserved Ejection Fraction and Atrial Fibrillation

Vicious Twins



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CME Objective for This Article: Upon completion of this activity, the learner should be able to: 1) Recognize that HF_pEF and AF are common coexistent conditions that have substantial impact on patient well-being; 2) Understand the challenges in establishing a diagnosis of HFpEF in patients with concomitant AF; 3) Consider the underlying pathophysiological links between HF_pEF and AF, and approach these as potential treatment opportunities; and 4) Recognize important knowledge gaps in patients with concomitant AF and HFpEF.

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ABSTRACT

Heart failure with preserved ejection fraction (HFpEF) and atrial fibrillation (AF) are age-related conditions that are increasing in prevalence, commonly coexist, and share clinical features. This review provides a practical update on the epidemiology, pathophysiology, diagnosis, and management of patients with concomitant HFpEF and AF. Epidemiological studies highlight the close and complex links between HFpEF and AF, the shared risk factors, the high AF occurrence in the natural history of HFpEF, and the independent contribution of each condition to poor outcomes. Diagnosis of HFpEF in the setting of AF is challenging because the symptoms overlap. AF is associated with changes in echocardiographic parameters and circulating natriuretic peptides that confound HFpEF diagnosis. Symptomatic improvement with diuretic therapy supports the presence of HFpEF in patients with concomitant AF. Important knowledge gaps need to be addressed by a multidisciplinary and translational research approach to develop novel therapies that can improve prognosis. (J Am Coll Cardiol 2016;68:2217–28) © 2016 by the American College of Cardiology Foundation.

Heart failure with preserved ejection fraction (HFpEF) and atrial fibrillation (AF) are common conditions that are increasing in prevalence and are associated with increased morbidity and mortality compared with patients without these diagnoses (1). HFpEF is as common as heart failure with reduced ejection fraction (HFrEF), and patients experience similar symptoms, yet lack therapeutic options with proven efficacy (2). Patients with AF are heterogeneous and share many common clinical features with patients with heart failure (HF), but demonstrate a requirement for specific management to improve outcomes, over and above related comorbidities (3). Both HFpEF and AF are associated with older age, hypertension, and diastolic dysfunction; therefore, these disorders are inextricably

linked, both to each other and to adverse cardiovascular outcomes (1). AF is a potent and independent prognostic factor in patients with HF, increasing the risk of death in clinical trials and observational studies (4,5). The development of AF may have more of an effect in patients with HFpEF than in those with HFrEF (6,7), identifying a subgroup of patients with more advanced HFpEF and worse exercise tolerance (8). Although the combination of AF and HFpEF appears to be associated with lower mortality than AF and HFrEF, patients have similar rates of incident stroke and HF hospitalization (9). Furthermore, the severity of disease in HFpEF and HFrEF may not have been comparable in prior studies. At the very least, AF and HF require comparable attention.

Dr. Lam has received grants from Boston Scientific, Bayer, ThermoFisher, Medtronic, and Vifor Pharma; and has received personal fees from and/or served as a consultant for Bayer, Novartis, Takeda, Merck, AstraZeneca, Janssen Research & Development, Menarini, Boehringer Ingelheim, and Abbott Diagnostics, all outside of the submitted work. Dr. Van Veldhuisen has received a grant from St. Jude Medical, outside of the submitted work; and is a member of steering committees and has received travel expenses and/or board membership fees from Novartis and Corvia Medical in the field of HFpEF. Dr. Van Gelder has received grants from Medtronic and the Dutch Heart Foundation, outside of the submitted work. Drs. Van Gelder and Rienstra have received support from the Netherlands Cardiovascular Research Initiative, an initiative with support of the Dutch Heart Foundation (CVON 2014-9: Reappraisal of Atrial Fibrillation—interaction between hypercoagulability, electrical remodeling, and vascular destabilisation in the progression of AF [RACE VI]). Dr. Voors has received research support from Novartis; and has received consultancy fees from Boehringer Ingelheim, Novartis, and Servier. The opinions expressed in this paper are those of the authors, and do not represent the NIHR or the U.K. Department of Health. Drs. Kotecha and Lam contributed equally to this work.

Not all studies have been able to differentiate whether HFpEF or AF comes first, and there are clear diagnostic challenges in clinical practice. Identifying prevalent AF in the context of HFpEF is relatively straightforward, with well-documented electrocardiographic methods that apply to a wide range of patient populations (10). However, AF is often paroxysmal, is frequently asymptomatic, and can be easily missed (11). HFpEF remains a clinical diagnosis (12,13), combining typical symptoms and signs with echocardiographic evidence of diastolic dysfunction and “preserved” left ventricular ejection fraction (LVEF). Importantly, symptoms like dyspnea, fatigue, and impaired exercise tolerance are also the predominant symptoms of patients with AF, and largely overlap with HFpEF, making definitive diagnosis on the basis of clinical features more complex. There is ambiguity in echocardiographic diagnosis, both for the LVEF cutoff (which is a continuum), and the objective evaluation of diastolic function, which is not always easy or possible to demonstrate, particularly in the context of AF. Circulating levels of biomarkers, such as N-terminal B-type natriuretic peptides (NT-proBNPs), are also independently influenced by both conditions, making it unclear what NT-proBNP levels to use for the diagnosis of one condition in the presence of the other (2).

In this review, we aim to focus on the epidemiology, pathophysiology, diagnosis, and management of patients with concomitant HFpEF and AF. We start by summarizing available evidence regarding the prevalence and incidence of HFpEF in the setting of AF and vice versa, and then we examine the underlying mechanisms by which AF begets HFpEF and HFpEF begets AF. Further, we address the diagnostic uncertainties of each condition in the presence of the other, and we consider potential therapeutic strategies. Our objective is to provide clinicians with a practical guide to the key issues and address the knowledge gaps that prevent optimal treatment of this common and high-risk group of patients.

INCIDENCE AND PREVALENCE OF HFpEF IN THE SETTING OF AF

Data on the incidence and prevalence of clinical HF in patients with AF is widely available; however, specific studies on HFpEF are scarce. The PREVEND (Prevention of Renal and Vascular End-Stage Disease) study is a community-based cohort in the Netherlands. Of 8,265 participants studied, 265 developed AF (total follow-up 80,352 person-years). The incidence rate of HFpEF (LVEF >50%) per 1,000 person-years was 4.90 for those with AF versus

0.85 for those without AF, a hazard ratio (HR) of 4.8 (1). AF was identified as a major risk factor for new-onset HFpEF in the Framingham Heart Study (HR: 2.5), and the presence of AF tended to predict incident HFpEF (HR: 2.3) more strongly than in HFrEF (14). Furthermore, among participants with AF, there was a higher incidence of HFpEF in women compared with men (35.1 events/1,000 person-years vs. 21.2 events/1,000 person-years) (15). Surveys, registries, and trials give further insight into the prevalence of HFpEF in patients with AF, which varies between 8% and 24% (16–19), and which depends on the definition (LVEF above 40% or 50%) and the type of AF (Figure 1). Although different definitions of HFpEF were used, it would seem prudent to suggest that HFpEF is more common in those with a longer duration of AF.

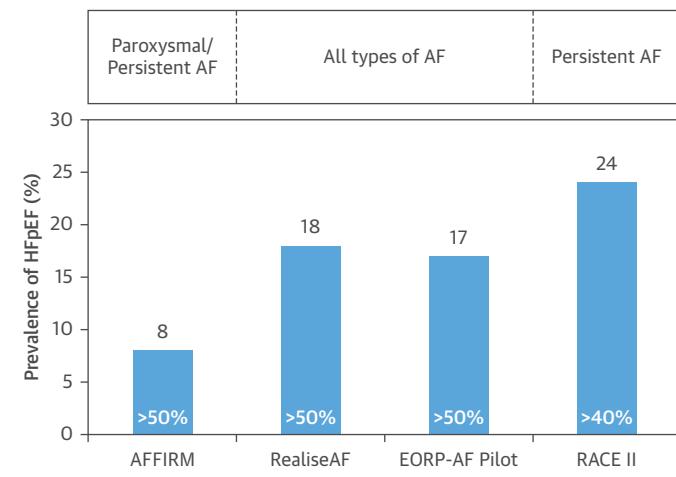
INCIDENCE AND PREVALENCE OF AF IN THE SETTING OF HFpEF

Large epidemiological studies have established that HF is a potent risk factor for incident AF, with a 6-fold increase in the risk of developing AF in a previous report from the Framingham Heart Study (20). In fact, AF is the most common arrhythmia in HF, present in

ABBREVIATIONS AND ACRONYMS

AF = atrial fibrillation
ANP = atrial natriuretic peptide
HF = heart failure
HFpEF = heart failure with preserved ejection fraction
HFrEF = heart failure with reduced ejection fraction
LA = left atrium/atrial
LV = left ventricular
LVEF = left ventricular ejection fraction
NT-proBNP = N-terminal B-type natriuretic peptide

FIGURE 1 Prevalence of HFpEF in AF



The prevalence of HFpEF in 4 major AF trials. The percentage of subjects with left ventricular ejection fraction above 40% or 50% is indicated in the columns, as is the type of AF. AF = atrial fibrillation; HFpEF = heart failure with preserved ejection fraction; RACE II = Rate Control Efficacy in Permanent Atrial Fibrillation II. AFFIRM study = Atrial Fibrillation Follow-up Investigation of Rhythm Management Study; RealiseAF registry = Real-life global survey evaluating patients with atrial fibrillation registry; EORP-AF Pilot registry = EURObservational Research Programme Atrial Fibrillation Pilot Registry.

around one-third of patients (21,22). The prevalence of AF increases with HF severity, ranging from 5% in mild HF to 50% in severe HF (23). Specifically for HFpEF, the prevalence of AF varies between 15% and 41% (Figure 2).

The temporal progression of AF in HFpEF was described in 939 participants with newly diagnosed HFpEF in the Olmsted County population cohort. Two-thirds experienced AF during the course of their disease: 29% prior to diagnosis, 23% concurrent with HFpEF, and 15% after diagnosis (24). Participants with prevalent AF at the time of HFpEF diagnosis (compared with sinus rhythm) were older and had higher NT-proBNP levels and larger left atria, whereas those with incident AF after HFpEF diagnosis had greater diastolic dysfunction. More recently, a study of the temporal associations of AF with HFpEF versus HFrEF showed that participants with HFpEF were more likely to have prevalent AF compared with those with HFrEF (32% vs. 23%; $p = 0.002$) and AF at any time (62% vs. 55%; $p = 0.02$) (15). In aggregate, these studies highlight the close and complex links between HFpEF and AF, the extraordinarily high occurrence of AF in the natural history of HFpEF, and the independent contribution of each condition to poor outcomes in affected patients.

SHARED PATHOPHYSIOLOGY

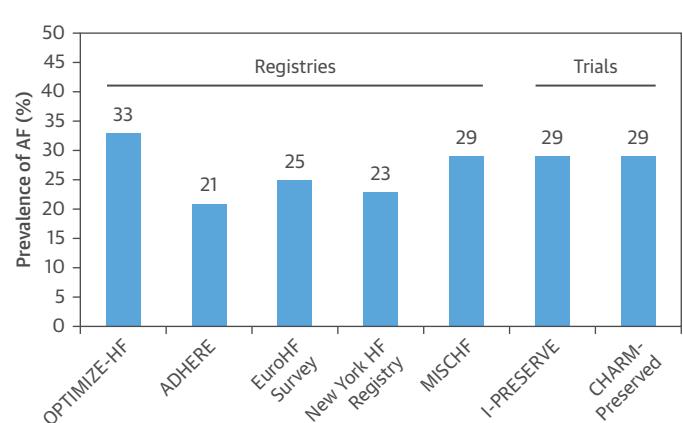
Given that a substantial proportion of patients with HFpEF experience AF at some point during the course of their disease, shared pathophysiological mechanisms are highly likely. These may involve: 1) common risk factors and comorbidities that predispose to both conditions simultaneously; 2) mechanisms by which HFpEF gives rise to AF; and 3) mechanisms by which AF leads to HFpEF (Figure 3). Noncardiac comorbidities are often present in HFpEF. Pulmonary disease, diabetes mellitus, anemia, and obesity tend to be more prevalent in HFpEF patients, but renal disease and sleep-disordered breathing burdens are similar to HFrEF (25). These comorbidities are also frequently present in the setting of AF (26).

COMMON RISK FACTORS PREDISPOSING TO BOTH HFpEF AND AF SIMULTANEOUSLY.

Common risk factors prominently shared between HFpEF and AF include advanced age and age-related comorbidities, such as hypertension, obesity, and sleep apnea. Vascular-ventricular stiffening, the hallmark of aging (27), plays an important role in the pathophysiology of HFpEF via left ventricular (LV) diastolic dysfunction and systolic ventricular-vascular uncoupling (28,29). Similarly, the incidence of AF increases sharply with age (30), and age-related diastolic dysfunction has been shown to contribute to AF in the general population (31,32). Importantly, however, the incidence of AF in HFpEF exceeds that expected by aging alone (incidence rate of 69 cases/1,000 person-years in Olmsted County HFpEF [24] compared with 28.3 cases/1,000 person-years in U.S. Medicare beneficiaries ≥ 65 years of age [30]).

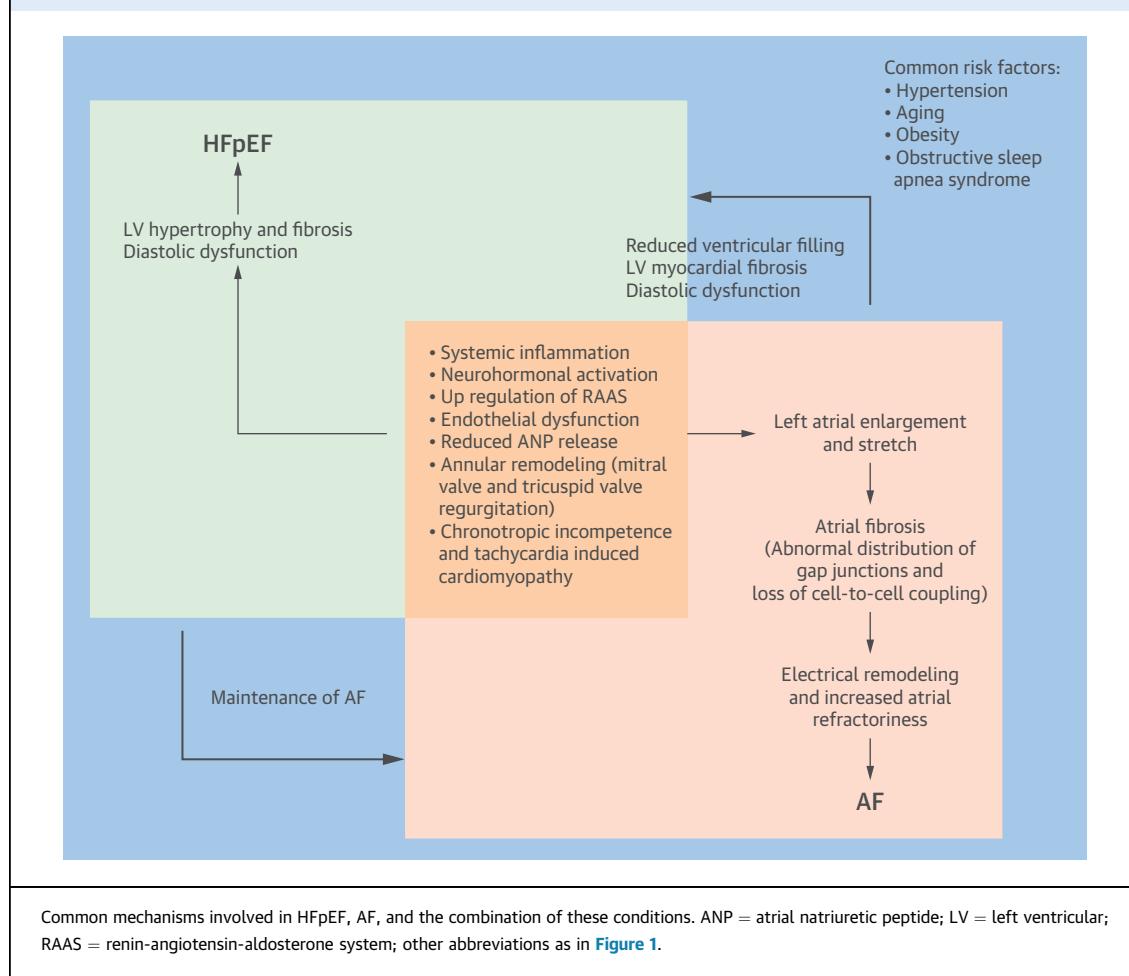
Systemic inflammation may also link HFpEF and AF, with a new paradigm proposing HFpEF as an inflammatory disorder in which comorbidities, such as obesity, trigger widespread endothelial dysfunction, oxidative stress, and microvascular inflammation, leading to end-organ manifestations, such as diastolic dysfunction (33,34). Evidence supporting the hypothesis of endothelial microvascular inflammation in HFpEF accumulates, although definitive clinical trial data are still lacking. Histological findings in atrial biopsies support the proinflammatory milieu of HFpEF as a key mechanism underlying AF occurrence and maintenance (35). In patients undergoing AF ablation, levels of inflammatory markers, such as C-reactive protein, interleukin-6, and matrix metalloproteinase-2, differed significantly between patients who remained in sinus rhythm after ablation versus those who reverted to AF (36).

FIGURE 2 Prevalence of AF in HFpEF



The prevalence of AF in HFpEF varies in 7 large heart failure trials. I-PRESERVE = Irbesartan in Heart Failure With Preserved Ejection Fraction study; ADHERE registry = Acute Decompensated Heart Failure National Registry; CHARM-Preserved study = Candesartan in Heart Failure: Assessment of Reduction in Mortality and Morbidity study; EuroHF Survey = Euro Heart Failure Survey; MISCHF study = Management to Improve Survival in Congestive Heart Failure study; OPTIMIZE-AF study = Organized Program to Initiate Lifesaving Treatment in Hospitalized Patients with Heart Failure study; other abbreviations as in Figure 1.

FIGURE 3 Pathophysiology and Shared Mechanisms in HFpEF and AF



MECHANISMS BY WHICH HFpEF GIVES RISE TO AF. The most commonly recognized mechanism by which HFpEF gives rise to AF is via structural and functional remodeling of the left atrium (LA). LA volumes are 68% larger in HFpEF compared with age-matched control subjects and 40% larger than in patients with hypertensive heart disease without HF (37). Patients with HFpEF have reduced emptying fractions and contractile reserve compared with control subjects and patients with hypertension. LA enlargement in HFpEF is a well-established proarrhythmic substrate associated with atrial fibrosis (38). Abnormal distribution of gap junctions and loss of cell-to-cell coupling in areas of fibrosis contributes to electrical remodeling, increased atrial refractoriness, and development of AF (39,40). Disrupted ion-channel regulation has been demonstrated in experimental models of HF, with reduction in the L-type calcium ion (Ca^{2+}) current, the sensitive transient outward potassium ion (K^+) current, and the

slow delayed rectifier K^+ current in atrial myocytes (41), whereas the transient inward sodium ion (Na^+)/ Ca^{2+} exchanger current is increased (42). The increase in the $\text{Na}^+/\text{Ca}^{2+}$ transmembrane exchange channel current gives rise to delayed afterdepolarizations, leading to arrhythmias initiated by triggered activity (43). The important role of gap junctions in atrial remodeling has also been highlighted, involving atrial connexin proteins (44) and the resultant inhomogeneity of impulse propagation, thus establishing re-entry circuits predisposing to AF. Although many of these seminal AF studies were performed in HFrEF models, the underlying concepts also apply to atrial remodeling in the setting of HFpEF.

Up-regulation of the adrenergic and renin-angiotensin-aldosterone systems has been shown in experimental models to contribute to impaired impulse propagation, atrial fibrosis, and AF in HF. Because both neuroendocrine systems are similarly up-regulated in HFpEF and in HFrEF (45),

these mechanisms may underlie the development of AF in HFpEF. A further consideration includes the role of atrial natriuretic peptide (ANP), the hormone produced by the atria in response to stretch, which causes diuresis and vasodilation. Impaired natriuresis has been shown to contribute to volume overload among patients with pre-clinical diastolic dysfunction (46). Although normally important for homeostasis, failure of the atrium to secrete adequate amounts of ANP in HFpEF may be associated with atrial structural remodeling and mechanical dysfunction (47). Interestingly, atrial endocrine failure may be addressed by blocking neprilysin, the neutral endopeptidase that breaks down ANP.

MECHANISMS BY WHICH AF GIVES RISE TO HFpEF. Because AF itself causes LA dilation, impaired atrial function, and atrial fibrosis, AF may be a direct cause of HFpEF (48). Indeed, successful cardioversion is associated with restoration of atrial booster pump function and improved ventricular filling, with the atrial contribution to ventricular filling increasing from 30% to 47% 1 month after the return of sinus rhythm (49). AF is also associated with LV myocardial fibrosis (50), which, in turn, contributes to diastolic dysfunction and HFpEF (51). Furthermore, atrioventricular annular remodeling with progressive mitral and tricuspid regurgitation may be another mechanism by which AF causes HFpEF (52). Also, depletion of ANP, which may occur in permanent AF, may lead to more vasoconstriction and congestion and may set the stage for incident HFpEF (53).

It is often proposed that HF develops in AF because of tachycardia or irregularity-induced cardiomyopathy, including hemodynamic changes (shortened diastasis and reduced cardiac output), structural effects (LV eccentric remodeling, subendocardial fibrosis, and impaired myocardial perfusion), cellular effects (cytoskeletal alteration, matrix and mitochondrial disruption, and abnormal calcium handling), and neurohormonal activation (up-regulation of the renin-angiotensin-aldosterone system and natriuretic peptides) (54,55). However, these mechanisms classically pertain to HFrEF, and their contribution to HFpEF remains poorly understood. It is also possible that some cases of so-called HFpEF with AF may be patients in whom LVEF has recovered with adequate heart rate control.

DIAGNOSTIC UNCERTAINTY

Diagnosing HFpEF in the context of AF is challenging. HF remains a clinical syndrome characterized by the concordance of: 1) clinical symptoms and signs; 2)

objective evidence of LV diastolic dysfunction; 3) increased circulating natriuretic peptide levels; and 4) response to therapy (12,56). The first 3 diagnostic components are difficult to establish in the presence of AF because symptoms of HF resemble those of AF, echocardiographic parameters of diastolic dysfunction are more challenging to obtain, and natriuretic peptide levels are elevated in patients with AF, even in the absence of HF. Although reduced LVEF in AF patients can be diagnosed with different cardiac imaging modalities, identifying HFpEF requires a combination of heterogeneous echocardiographic parameters (57). As a result, there is often clinical reluctance to categorically confirm the presence of HFpEF in coexisting AF. Furthermore, there is considerable variation in the definition of HFpEF regarding the cutoff of LVEF (2). Although current guidelines recommend an LVEF cutoff of $\geq 50\%$, such definitions are arbitrary and may not apply to individual patients. The last of the 4 diagnostic components, response to therapy, seems of potential value, yet is underutilized in HFpEF and AF. Diuretic therapy may provide symptomatic benefit in patients with AF, concomitant HFpEF, and signs of fluid overload (58). Although there are no controlled trials available, improved fluid balance and symptom relief with diuretic therapy, in the absence of any change in heart rate or rhythm, are powerful clinical indicators of the presence of HFpEF in AF patients (**Central Illustration**).

ECHOCARDIOGRAPHY AND NATRIURETIC PEPTIDES. A number of studies have demonstrated elevated filling pressures in AF, and have validated echocardiographic parameters in AF patients against invasive pulmonary capillary wedge pressure and clinical outcomes. For example, E/e' was significantly associated with filling pressure (5 studies with $n = 444$; correlation 0.47 to 0.79) (59–63) and was independently associated with mortality (64), exercise capacity (65), prior ischemic stroke (66), and quality of life (67). A number of other diastolic indexes also correlate with invasive filling pressure, such as isovolumic relaxation time, mitral deceleration time, diastolic flow progression, and pulmonary venous flow measures (68). These results confirm that HFpEF (i.e., the presence of elevated LV filling pressure and HF symptoms) does exist in patients with AF and can be diagnosed, albeit from small observational studies with highly selective inclusion.

The difficulty in making definitive diagnoses of diastolic dysfunction using echocardiography or the presence of HF using elevated levels of natriuretic peptides lies in AF being a known modifier of the

CENTRAL ILLUSTRATION Diagnosis and Management of Concomitant HFpEF and AF

Diagnosis of atrial fibrillation (AF) and heart failure with preserved ejection fraction (HFpEF)				Treatment recommendations for AF and HFpEF
	HFpEF	AF	Combined	
Symptoms				
Breathlessness	+	+	++	Anticoagulation with NOACs or VKA (all patients ≥ 65 years or other risk factors)
Fatigue	+	+	++	
Orthopnea	+	–	+	
Nocturnal dyspnea	+	–	+	
Signs				
Increased venous pressure	+	–	+	
Rales/third heart sound	+	–	+	• Anti-hypertensive therapy
Irregular pulse	–	+	+	• Treatment of myocardial ischemia
Investigations				• Management of associated comorbidities
AF on ECG or device	–	+	+	
Left atrial enlargement	+	+	++	
Increased E/e' ratio on echo*	+	–	+	
Increased natriuretic peptides†	+	+	++	
Clinical response to diuretics	+	–	+	

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*The ratio of mitral peak E velocity to tissue Doppler e': >15 septal and >13 lateral are associated with adverse outcomes in AF patients. Other indexes are also helpful, such as mitral deceleration time, isovolumic relaxation time, and pulmonary venous flow. Note that echocardiographic determination of diastolic dysfunction is different in patients with AF due to the lack of mitral inflow A-wave, loss of pulmonary venous flow A reversal, and different "normal" value ranges compared with sinus rhythm (e.g., diminution of pulmonary venous systolic flow in AF). †N-terminal pro-B-type natriuretic peptide ≥ 600 pg/ml, as used in the SOCRATES-Preserved (Phase IIb Safety and Efficacy Study of Four Dose Regimens of BAY1021189 in Patients With Heart Failure and Preserved Ejection Fraction Suffering From Worsening Chronic Heart Failure) study (NCT01951638), or >900 pg/ml, as used in the PARAGON-HF (Efficacy and Safety of LCZ696 Compared to Valsartan, on Morbidity and Mortality in Heart Failure Patients With Preserved Ejection Fraction) trial (NCT01920711). AF = atrial fibrillation; bpm = beats/min; ECG = electrocardiogram; echo = echocardiography; HFpEF = heart failure with preserved ejection fraction; NOAC = nonvitamin K antagonist oral anticoagulant; VKA = vitamin K antagonist oral anticoagulant.

relationship between each of these variables and HFpEF. For example, in the case of HFpEF and NT-proBNP, AF is related to HFpEF and also independently leads to elevation of NT-proBNP, thus potentially distorting the relationship between HFpEF and NT-proBNP. As a result, it remains unclear which NT-proBNP cutoff to use for the diagnosis of HFpEF in the setting of AF, and to what extent NT-proBNP levels respond to treatment (2). Similarly, dilation and dysfunction of the LA, which, in sinus rhythm, is a useful diagnostic criterion for HFpEF (69), may be pre-existing in patients with AF. In most clinical cases, the diagnosis of diastolic dysfunction requires categorizing patients using a range of different parameters (70), not all of which will be abnormal, thus creating clinical uncertainty. These

are also critical challenges in designing clinical trials for HFpEF and AF.

PROGNOSIS OF CONCOMITANT AF AND HFpEF

Both prevalent and incident AF are associated with increased mortality in HFpEF (HR: 1.30 and 2.45, respectively, compared with patients with no AF) (24). Conversely, the presence of HF substantially worsens the prognosis in patients with AF (7,15). However, the type of HF may have a different effect on different outcomes. In a meta-analysis of 10 studies, all-cause mortality was significantly higher in patients with HFrEF and AF than in those with HFpEF and AF (risk ratio: 1.24; 95% CI: 1.12 to 1.36; $p < 0.001$; $n = 45,100$),

whereas HF hospitalization and incident stroke were similar, regardless of ejection fraction (9). In the I-PRESERVE (Irbesartan in Heart Failure With Preserved Ejection Fraction) trial, stroke rates in HFrEF patients were doubled in those with a history of AF, regardless of whether they were in AF at the time of assessment (71). Sex differences in HFrEF were also noted in I-PRESERVE, with a greater adverse prognostic effect of AF in women compared with men (72). In observational studies, patients in sinus rhythm with HFrEF had markedly worse symptoms, functional capacity, and quality of life compared with patients with HFrEF, whereas in AF patients, there were no differences between HFrEF and HFrEF (73).

CURRENT AND FUTURE TREATMENT OPPORTUNITIES

There are no treatments for patients with HFrEF and AF that have been shown to improve prognosis, aside from anticoagulation (26,74). HF therapies that reduce mortality and morbidity in HFrEF, such as angiotensin-converting enzyme inhibitors, angiotensin receptor blockers, and mineralocorticoid-receptor antagonists, do not have the same effect in HFrEF (75–77). The added consequences of AF may also neutralize the mortality benefit of other therapies, such as beta-blockers or digoxin (5,78).

Anticoagulation in AF patients is required when patients have clinical risk factors for stroke or thromboembolism, and current guidelines highlight the risk associated with both HFrEF and HFrEF on the basis of growing evidence that stroke rates are increased in AF patients with either type of HF (9,79,80). Although no trial has specifically randomized AF patients with HFrEF to anticoagulation, subgroup data from the nonvitamin K antagonist oral anticoagulant trials suggest similar efficacy in patients with and without HF (81).

Other treatments of concomitant HFrEF and AF aim to reduce symptoms and improve quality of life (**Central Illustration**). The mainstay of management is therefore to optimize fluid balance, control blood pressure, and avoid ischemia, in addition to managing comorbidities, such as obesity, airway diseases, and diabetes (3). Aggressive risk factor management programs, including weight loss, have reduced AF recurrences and symptoms in patients with AF (82–85) and improved cardiorespiratory fitness in HFrEF patients (86). This supports the notion that adequate treatment of comorbidities and risk factors may improve symptom burden, quality of life, and exercise capacity. Rate control of AF in the context of HFrEF is not expected to improve hard endpoints, and any benefits with regard to quality of life, exercise capacity, or cardiac function are yet to be determined, including in older patients, who form the majority of this group (87). Some data suggest reduced symptoms with rate control, although the AF populations assessed were not specifically those with HF or HFrEF (88,89). In elderly patients with severe symptoms related to HFrEF and AF, it seems reasonable to start with rate control to optimize ventricular filling time and prevent symptoms related to paroxysms of rapid AF. Adopting a rhythm control strategy is challenging in patients with HFrEF; often, patients are of advancing age and have multiple other comorbidities that may influence the success and risk of complications. Nevertheless, from a small, single-

TABLE 1 Knowledge Gaps and Areas Essential for Advancing Understanding of the Pathogenesis, Prevention, and Treatment of Concomitant HFrEF and AF

Research Domain	Important Knowledge Gaps	Areas of Potential Discovery and Scientific Advancement
Epidemiology	Incidence and prevalence of HFrEF in the setting of AF. Global burden of HFrEF and AF.	Identification of the clinical, subclinical, and genomic factors underlying variability in AF and HFrEF, life course, and complications in diverse racial groups, populations, and regions. Discovery of strategies to prevent AF onset and progression in the setting of HFrEF, and vice versa.
Noninvasive imaging	Diagnosis of HFrEF in the setting of AF.	Novel methods for assessing diastolic function and, in particular, for quantifying LA function are within reach. Measuring LA volume using 3-dimensional echocardiography, quantifying LA function with speckle-based strain and velocity vector imaging (100).
Natriuretic peptides	Optimal cutoff values for diagnosis of HFrEF in patients with AF.	Clinical classification of patients to enable stratified therapy and a more personalized approach.
Clinical cardiology	Treatment of AF in the setting of HFrEF. Treatment of HFrEF in the setting of AF.	Investigation of rate and rhythm control in AF and HFrEF, and improvement in symptom burden and prognosis. Confirmation that the benefits of physical activity and life-style modification seen in HFrEF (86) and AF (85) also occur in patients with both conditions. Development of novel therapeutic agents in patients with HFrEF that are also beneficial in those with concomitant AF. Further data on patient care managed by hemodynamic monitoring (101). Investigation of device therapies in AF and HFrEF.
Systems biology	Relations between clinical risk factors, genetics, and environment.	Integration across multiple disciplines (basic science, epidemiological, clinical, and bioinformatics) will accelerate our understanding of complex pathways underlying AF and HFrEF, and help to develop opportunities for prevention and treatment.

AF = atrial fibrillation; HFrEF = heart failure with preserved ejection fraction; LA = left atrium/atrial.

center study, catheter ablation in HFpEF was associated with improved diastolic function in patients who maintained sinus rhythm (albeit with multiple procedures and/or antiarrhythmic drugs) (90). Early rhythm control strategies, which are currently under investigation, may increase the beneficial effects on symptom burden and may potentially improve prognosis (91). More advanced AF ablation techniques, including hybrid epicardial and endocardial ablation, offer promise for reducing the AF burden, even in patients with advanced atrial remodeling, such as those with HFpEF.

Emerging medical therapies offer a glimmer of hope (92). In view of potential atrial endocrine failure in HFpEF with AF (discussed in the previous text) and the utility of neprilysin inhibitors to restore ANP levels, it is noteworthy that the angiotensin receptor-neprilysin inhibitor LCZ696 reduced LA volume in HFpEF in the PARAMOUNT (Prospective comparison of ARNI with ARB on Management Of heart failUre with preserved ejectioN fractiOn) phase II trial (93). LCZ696 was equally effective in improving outcomes in the presence or absence of AF in the PARADIGM-HF trial (Prospective comparison of ARNI with ACEi to Determine Impact on Global Mortality and morbidity in Heart Failure) in patients with HFrEF (94).

Implantable cardiac devices may also affect the prognosis in patients with HFpEF, with and without AF. Sudden cardiac death accounts for a sizeable proportion of deaths in HFpEF trials (95,96); however, uncertainty remains regarding the true incidence of sustained ventricular tachyarrhythmia and arrhythmic death in the general HFpEF population. Clarifying this uncertainty is of great importance because this may set the stage for implantable defibrillator therapies in HFpEF. The VIP-HF (Ventricular tachyarrhythmia detection by Implantable Loop Recording in Patients with Heart Failure and Preserved Ejection Fraction) registry is currently recruiting patients, and is due to report its results in late 2018 (97). Whether cardiac resynchronization therapy (CRT) is beneficial in HFpEF with and without AF needs to be determined. Substudies of CRT trials have shown that patients with less severe

LV dysfunction (LVEF >35%) appeared to derive clinical and structural benefit from resynchronization (98). However, as mechanical dyssynchrony in HFpEF differs from that seen in classical HFrEF indications (99), the value of CRT in HFpEF patients with AF needs to be explored in future trials.

KNOWLEDGE GAPS

Despite the increasing understanding of HFpEF and AF separately, there are still important knowledge gaps. Further study is essential to advance our understanding of the pathogenesis, risk, prevention, and treatment of concomitant HFpEF and AF. In **Table 1** we summarize knowledge gaps and potential future research topics, such as defining the global burden of AF in HFpEF and vice versa, identifying genomic and nongenomic risk factors, determining the clinical effect of rate versus rhythm control, and clarifying optimal heart rate targets. To address these questions, we advocate multidisciplinary and translational research programs capitalizing on experimental studies, observational community-based cohorts, and clinical trials. There are also opportunities for future research in the area of diagnosis, particularly new cardiac imaging techniques, novel clinical indexes, and measures of LA function.

CONCLUSIONS

Although HFpEF and AF frequently coexist, there are still numerous unanswered questions about the pathophysiology, symptomatology, diagnosis, and prognosis of both conditions when occurring together. More systematic research is urgently needed to answer these unresolved issues and to provide treatments that can improve quality of life and reduce adverse clinical outcomes in the rapidly expanding number of patients with HFpEF and AF.

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